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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE twork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/707,473 TRANSMITTAL Filing Date December 17, 2003 **FORM** First Named Inventor Hiromi Ito Art Unit (to be used for all correspondence after initial filing) 3728 **Examiner Name** Anthony D. Stashick Attorney Docket Number 38.002-AG Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): **Express Abandonment Request** Request for Refund CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT JUDGE PATENT FIRM Individual name Signature Date May 10, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 10/707,473 Confirmation No. 1472

Applicant : Hiromi Ito

Filed: December 17, 2003

Tech. Cntr./Art Unit: 3728

Examiner : Anthony D. Stashick

Docket No. : 38.002-AG

Customer No. : 29453

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The documents listed on the accompanying Form PTO/SB/08A are hereby brought to the Examiner's attention in the patent application identified above.

REMARKS

Cite Nos. A1, F1, F2 and F3 as listed on Form PTO/SB/08A were cited in a communication from the European Patent Office in a counterpart application. Among these, Cite Nos. F1-F3 are not in English.

Furthermore, Cite Nos. F4 through F7 are prior art known to the Applicant and are also not in English.

Concise Explanation of Relevance Pursuant to 37 C.F.R. § 1.98(a)(3)

• Cite Nos. F1-F3

As a concise explanation of the relevance of Cite Nos. F1-F3, a copy of the European Search Report also accompanies this paper.

• Cite No. F4 - Japanese Unexamined Pat. App. Pub. No. 2002-177001

Cite No. F4 is directed to shoes configured to collapse down to an essentially flat form in order to reduce the space the shoes occupy when packed away. The shoes of Cite No. F4 are described as an improvement over U.S. Pat. No. 4,214,383, which is similarly directed to slippers designed for compact storage.

Fig. 1 in the F4 reference illustrates an open-back shoe (slipper) in which a shoe upper part 2 running along the edge portion 3 of a sole part 1 is partially fastened to the sole part 1 by a faster 4. With the fastener undone, the upper part 2 folds over onto the flat of the sole part 1.

Although a shoe according to this reference is most advantageously embodied in the slipper form depicted in Figs. 1 and 2, closed-back-slipper and half-boot forms that include a heel part 9 are depicted in Figs. 3 and 4. Nevertheless, even in the embodiments of Figs. 3 and 4, an additional fastener 14 is provided so that the heel part 9 can be unfastened at least to the back of the heel, and that way the whole shoe can be opened out so that both the upper and the heel parts can be folded over to minimize the space that the shoe takes up when packed away for traveling, or when stowed away on a shelf or in a locker, for example.

The present invention is directed to shoes for the elderly and nursing-care recipients. Accordingly, while shoes of the present invention are designed to be easy to put on and take off, they also must provide sufficient support for the comfort of their targeted users, which requires firmness in the heel that could not be obtained if the heel were partially detachable as is the case with the shoes of the Cite No. F4 reference.

In shoes configured according to the embodiments of Figs. 3 and 4 in Cite No. F4, the only fastening means between the heel part 9 and the upper part 2 is a clasp 7; nothing is disclosed or taught about a fastener provided along the split between the heel part 9 and the upper part 2.

In contrast, shoes embodied according to the present invention are provided with complementary hook-and-pile fasteners 11 and 12 that close the split between the vamp 1 and the quarter 4. Although Cite No. F4 teaches that the number or forms of the fasteners 4 and 14 are not restricted, nowhere does the reference disclose, teach, or suggest providing fastening means along the standing split between the upper and heel parts.

• Cite No. F5 - Japanese Utility Model App. Pub. No. S61-66501

The shoe described in Cite No. F5 is designed for comfort particularly in the toe region. A fastener 6 that serves to reattachably detach an upper covering 7 partially off the back and base of the shoe is provided running from the ball of the big toe to forward of the ankle. Thus the shoe is configured so that it may "readily and effortlessly be put on and taken off simply by working the fastener pull tab 5."

In contrast to the present invention, Cite No. F5 nowhere discloses, teaches, or suggests providing complementary hook-and-pile fasteners, separate from the zipper that fastens the upper covering to the sole, to close the split between the upper covering and the back of the shoe.

• Cite No. F6 - Japanese Utility Model App. Pub. No. S57-137303

This reference describes an exercise shoe that can be opened along the toe of the shoe, or split apart along the vamp from the tongue to the toe, by means of a fastener 1 as indicated in Figs. 1 and 2. The purpose of thus being able to open the shoe is to make it easier to wash and dry the inside of the shoe along the toe area.

Clearly the shoe of this reference is directed away from the particular features and advantages of the present invention detailed above. In the Cite No. F6 shoe, the vamp is not designed to open out off the sole, and there is no split between the vamp and the quarter.

• Cite No. F7 - Japanese Utility Model App. Pub. No. S55-98210

The shoe described in this reference is furnished with a fastener 1 surrounding the outside front of the shoe. The fastener 1 allows that area of the shoe to be split in two and exposed to the exterior to facilitate washing and drying the shoe, as is the objective in Cite No. F6.

In the Cite No. F7 shoe likewise, the vamp is not designed to open out off the sole, and there is no split between the vamp and the quarter.

App. No. 10,707,473 IDS Submission dated May 10, 2004

The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08A and make the document of record in the present application.

Respectfully submitted,

May 10, 2004

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/707,473 INFORMATION DISCLOSURE December 17, 2003 Filing Date STATEMENT BY APPLICANT Hitomi Ito First Named Inventor Art Unit 3728 (use as many sheets as necessary) **Examiner Name** Anthony D. Stashick Sheet of Attorney Docket Number 38.002-AG

U.S. PATENT DOCUMENTS									
Examiner		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
Initials*		Number- Kind Code ² (if known		Applicant of Cited Document					
		us-2003/009916-A1 01	01-16-2003	Bernstein Noam					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code3 -Number4 - Kind Code9 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т.		
	F1	FR-2617379-A	01-06-1989	Andre Chaussures SA				
	F2	DE-931,1441-U	10-14-1993	Wagner Harald		Ì		
İ	F3	DE-6604594-U	01-29-1970	Esana Schuhfabrik Sailer	k Co	İ		
	F4	JP-2002-177001-A	06-25-2002	Stegmann, Werner K.		Ì		
ļ	F5	JP-S61-066501	05-07-1986	Eijiro Gotoh				
Į	F6	JP-S57-137303	08-27-1982	Haruyasu Furuyama				
	F7	JP-S55-098210	07-08-1980	Sunparko, K.K.				
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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¹ Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.nev or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.